

Shropshire Council
Legal and Democratic Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 2 December 2020
My Ref:
Your Ref:

**Committee:
Audit Committee**

Date: Thursday, 10 December 2020
Time: 1.30 pm
Venue: THIS IS A VIRTUAL MEETING - PLEASE USE THE LINK ON THE AGENDA TO LISTEN TO THE MEETING

Members of the public will be able to listen to this meeting by clicking on this link:

<https://www.shropshire.gov.uk/auditcommittee10december2020/>

Please note that this meeting will be made available through Microsoft Teams Live Events - your device will need to meet the minimum specification as detailed on the Microsoft website at this link: [Device Specification](#)

- You will need to download MS Teams (free) and click on the link to listen to the meeting if you are using a PC
- If using a mobile device, you will need to download the MS Teams app (free) before clicking the link
- Use the link at 1.30 pm on the day of the meeting and click on 'Join as Guest'
- You may receive an error message or a request for login details if you try to gain access before 1.30 pm

You are requested to attend the above meeting.

The Agenda is attached

Claire Porter
Director of Legal and Democratic Services (Monitoring Officer)

Members of Audit Committee

Peter Adams (Chairman)
Ioan Jones
Chris Mellings

Brian Williams (Vice Chairman)
Michael Wood

Your Committee Officer is:

Michelle Dulson Committee Officer

Tel: 01743 257719

Email:

michelle.dulson@shropshire.gov.uk

AGENDA

1 **Apologies for Absence / Notification of Substitutes**

2 **Disclosable Pecuniary Interests**

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

3 **Minutes of the previous meeting held on the 29 October 2020 (Pages 1 - 6)**

The Minutes of the meeting held on the 29 October 2020 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

4 **Public Questions**

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for notification for this meeting is 1.30pm on Tuesday 8 December 2020.

5 **Members Questions**

To receive any questions from Members, notice of which has been given in accordance with Procedure Rule 14. The deadline for notification for this meeting is 1.30pm on Tuesday 8 December 2020.

6 **Second line assurance: Treasury Strategy Mid-Year Report 2020/21 (Pages 7 - 28)**

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

7 **Second line assurance: Annual review of Counter Fraud, Bribery and Anti-Corruption Strategy and activities, including an update on the National Fraud Initiative (Pages 29 - 60)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

8 **Governance Assurance: Annual review of Audit Committee Terms of Reference (Pages 61 - 70)**

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

9 **Governance Assurance: Annual Audit Committee Self-Assessment (Pages**

71 - 94)

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

10 Third line assurance: Internal Audit Charter (Pages 95 - 112)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski 01743 257739

11 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2019/20 (Pages 113 - 130)

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

12 Third line assurance: External Audit, Audit progress report and sector update

The report of the Engagement Lead is to follow.

Contact: Richard Percival (0121) 232 5434

13 Third line assurance: External Audit, Certification Summary Report

Report of the Engagement Lead is to follow.

Contact: Richard Percival (0121) 232 5434

14 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 4 March 2021 at 1.30 pm.

15 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

16 First line assurance: Theatre Severn Progress Update (Exempted by Categories 1, 2 and 3) (Pages 131 - 162)

The report of the Assistant Director of Homes and Communities is attached.

Contact: Jane Trethewey (01743 254917).

17 First line assurance: Leisure Services Progress Update (Exempted by Categories 1, 2 and 3) (Pages 163 - 178)

The report of the Assistant Director of Homes and Communities is attached.
Contact: Jane Trethewey (01743 254917).

18 Third line assurance: Highways Term Maintenance and Highways Design Contracts (Exempted by Category 5) (Pages 179 - 208)

The exempt report of the Head of Audit is attached.
Contact: Ceri Pilawski (01743) 257739

19 First line assurance: Highways Term Maintenance Contract (Exempted by Categories 3,4, and 5) (Pages 209 - 232)

The exempt report of the Director of Place and Enterprise is attached.
Contact: Mark Barrow (01743 258919)

20 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 1, 2, 3 and 7) (Pages 233 - 244)

The report of the Principal Auditor is attached.
Contact: Katie Williams (01743) 257737